Clinical application of massively parallel sequencing-based prenatal noninvasive fetal trisomy test for trisomies 21 and 18 in 11 105 pregnancies with mixed risk factors

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ABSTRACT

Objective To report the performance of massively parallel sequencing (MPS) based prenatal noninvasive fetal trisomy test based on cell-free DNA sequencing from maternal plasma in a routine clinical setting in China.

Method The MPS-based test was offered as a prenatal screening test for trisomies 21 and 18 to pregnant women in 49 medical centers over 2 years. A total of 11 263 participants were recruited and the MPS-based test was performed in 11 105 pregnancies. Fetal outcome data were obtained after the expected date of confinement.

Results One hundred ninety cases were classified as positive, including 143 cases of trisomy 21 and 47 cases of trisomy 18. With the karyotyping results and the feedback of fetal outcome data, we observed one false positive case of trisomy 21, one false positive case of trisomy 18 and no false negative cases, indicating 100% sensitivity and 99.96% specificity for the detection of trisomies 21 and 18.

Conclusion Our large-scale multicenter study proved that the MPS-based test is of high sensitivity and specificity in detecting fetal trisomies 21 and 18. The introduction of this screening test into a routine clinical setting could avoid about 98% of invasive prenatal diagnostic procedures. © 2012 John Wiley & Sons, Ltd.
INTRODUCTION
Each year there are about 16 million newborns in China, of whom 4% to 6% are affected by some form of birth defects.1,2 Chromosomal abnormalities, with an incidence of 1 in 160 births, are one of the most important causes of birth defects, and there are no curative treatments at present.3 Although invasive prenatal tests allow accurate diagnosis, wide spread clinical use is limited by cost and a 0.5% to 1% risk of procedure-related miscarriage.4,6 Over the last three decades, many screening tests have been developed to identify the high-risk groups for the most common chromosomal abnormalities, such as trisomy 21 (T21), 18 (T18) and 13 (T13), by various combinations of medical history, maternal age, ultrasound markers and maternal serum biochemistry. To date the first trimester combined screening has become widely accepted, being able to detect about 90% of trisomy 21 fetuses and 50% to 85% of other chromosomal defects.7,8 However, at a false positive rate of 4% to 5% for current screening approaches, only about 5% of the ‘high-risk’ pregnant women indeed carry a fetus with trisomy 21 while the remaining are normal, giving a positive predictive value of about 1 in 20.9,10 Therefore, there is a continuous drive to search for a diagnostic test without risk of miscarriage, or a screening test with better performance.

A variety of strategies have been explored using maternal blood, urine, and saliva samples to diagnose fetal gender and trisomy 21.11,12 The discovery of the presence of fetal cell-free DNA and RNA in maternal plasma, combined with PCR technologies and mass spectrometric analysis, has enabled noninvasive detection of trisomies 21 and 18 with acceptable sensitivity and specificity.13–17 However, these methods mainly detect specific alleles in a certain population, which has restricted its wide and robust application in clinical practice. In 2008 the rapid development of massively parallel sequencing (MPS) technology made it feasible to use maternal plasma cell-free DNA to detect trisomy 21 without using polymorphic markers.18,19 Studies from multiple centers so far have shown that this is a highly reliable approach with a detection rate of 99% to 100% and a specificity of 98% to 100% for trisomy 21.20–23 More recent studies have suggested that, with improved bioinformatic analysis, similar accuracies are achievable for the detection of trisomies 18 and 13.24,25,26 Several research groups have published their clinical trial data with 397 to 4664 cases.22,23,27,28 Here we reported the results of a prospective large-scale multicenter study in a routine clinical setting in a Chinese population in which 11 105 participants were recruited and took the MPS-based test. Our experience may provide useful information to clinicians and medical practitioners who are interested in the application and integration of this new technology with established prenatal screening and diagnosis workflow.

METHODS

Participants and overall design
In a 2-year period from the first quarter of 2010 to the first quarter of 2012, the MPS-based test was offered to pregnant women as a clinical screening test in 49 medical centers in 15 provinces and 4 centrally administered municipalities, all of which are prenatal screening or diagnosis centers certified by the Ministry of Health (MOH) of China.

The inclusion criteria for participants were: (1) pregnant women, at least 18 years old or above, (2) with a singleton live fetus and (3) a gestational age of 9 to 28 weeks. Women with multiple pregnancies or intra-uterine fetal demise at the time of sampling or unknown gestational age were excluded from this study. Cases with an expected date of confinement before 31th March 2012 or those with karyotyping results were included for the current analysis.

Approvals were obtained from the institutional review board of BGI-Shenzhen. Informed written consent was obtained from all pregnant women who agreed to take this screening test. All MPS-based tests were performed prior to the recording of karyotyping information and the sequencing lab in Shenzhen was blinded.

Each collaborating site was responsible for obtaining fetal outcome data for their own study subjects. To minimize under-reporting of false negative cases among the negative cases, and to motivate participants to report undiagnosed trisomies 21 and 18, we have established an ‘insurance scheme’ for this clinical study, as described below.

THE MPS-BASED TEST

Pretest counseling
Careful pretest counseling was provided to each participant. All participants were informed of the limitations of the MPS-based test as follows:

(1) This test is mostly intended for the prenatal detection of fetal trisomies 21 and 18 at the gestational age of 12 to 24 weeks.

(2) Although the reported sensitivities and specificities for trisomies 21 and 18 were as high as 99% or above, the MPS-based test is still a screening test. All test positive pregnancies should receive confirmatory invasive testing.

(3) About 1% of pregnancies would need resampling because of failure in the quality control criteria.

(4) This test cannot be applied to the following situations: (a) when the pregnant woman is affected with trisomy 21 or 18, (b) at an earlier gestational age than 9 weeks, or (c) women with multiple pregnancies.
The size distribution of the libraries was analyzed using an Agilent Bioanalyzer and quantification PCR. Standard multiplex primers were introduced by 17-cycle amplification from Illumina. After end-repairing, A-base tailing and adaptor ligation, DNA libraries were prepared according to a modified protocol. Blood samples were first centrifuged at 16000 g for 10 min, and the supernatant was recentrifuged at 16 000 g for 10 min to remove residual cells. The resultant cell-free plasma was stored at -80°C, and then shipped to BGI-Shenzhen on dry ice. Most samples arrived at the lab within 24 h and very few arrived no more than 72 h. Each sample was frozen and thawed only once.

For DNA extraction, 600 μL of maternal plasma was used. The DNA libraries were prepared according to a modified protocol from Illumina. After end-repairing, A-base tailing and adaptor ligation, standard multiplex primers were introduced by 17-cycle PCR. The size distribution of the libraries was analyzed using an Agilent Bioanalyzer and quantified with real-time PCR. Four or 12 barcoded libraries were equally pooled and sequenced with 36-cycles single-end multiplex sequencing strategy on an Illumina GAIIx or HiSeq 2000 platform, respectively.

All molecular tests and procedures were performed in an ISO/IEC 17025 certified and MOH accredited clinical laboratory at BGI-Shenzhen.

Quality control in sampling and sequencing
We set up a quality control criteria system for each step from sampling to reporting. A barcode tracking system was employed during the whole process. Blood samples with evidence of hemolysis or those that were processed beyond 8 h after sample collection were excluded. The quality parameters of acceptable samples were as follows: the peak size of a qualified DNA library was between 290 and 303 bp, and the yield more than 30 nM. The sequencing quality value (Q20) was over 90% for each base, and the GC content was around 40 ± 1.5%. The minimal amount of unique sequencing reads was no less than 2 million after alignment, which corresponded to about 5 million raw sequencing reads. Only qualified sequencing data were used for subsequent analyses.

Trisomies 21 and 18 analysis
Thirty-five base sequencing reads were trimmed and aligned back to a universal unique read set incised from the human reference genome (HG 18, NCBI build 36). A binary hypothesis t-test and logarithmic likelihood ratio L-score between the two t-tests were used to classify whether the fetus had trisomy 21 or 18 or not (a detailed description of the methodology is available in supplementary materials). If both the t-score >2.5 and the L-score >1, the sample was located in the high risk zone. If either the t-score >2.5 or the L-score >1 the sample was located in the warning zones. If the t-score <2.5 and the L-score <1, samples were located in the low-risk zone. Samples located in the high risk zone or warning zones were classified as test positive, while samples located in low risk zone as test negative.

Data and statistical analysis
The sensitivity and specificity of the MPS-based test was evaluated, using the result of fetal karyotyping as the gold standard. 95% confidence intervals (CIs) were calculated on the bases of a standard normal distribution.

Report delivery and posttest counseling
The report of our test would be issued within 15 working days from blood drawing. Posttest counseling was provided by clinicians and careful advice was given according to the test results.

Follow up investigation
In the case of MPS-based test positive results, participants were advised to have prenatal fetal karyotyping. If refused, the outcome of the case was monitored intensively to ensure a complete follow-up of the pregnancy.

In the case of MPS-based test negative results, the decisions for prenatal fetal karyotyping were made according to other clinical parameters and the wish of individual participants.

Fetal outcome data were obtained by questionnaires from participants.
RESULTS

Workflow
Figure 1 summarizes the workflow of the MPS-based test. In general, 95% of cases received the report within 10 working days from blood sampling, 99% of our test reports were issued within 15 working days.

Resampling of pregnancies
During the study, 0.87% (97/11,105) pregnancies needed resampling because of failure in quality control criteria mostly because of early gestational age. The test for these cases usually took another 10 to 15 working days from resampling to report delivery.

Study participants
Forty-nine medical centers participated in this clinical study, and a total of 11,263 pregnant women were recruited in two years (Figure 2). Forty-two participating centers offered the test to ‘high risk’ pregnant women identified by a conventional Down syndrome screening test, and the remaining 7 centers enrolled participants regardless of prior risk assessment. The MPS-based test was not performed in 79 cases because the recruitment criteria were not met. Another 79 cases failed in the quality control in the plasma separation, DNA extraction, sample preparation or sequencing steps mentioned in the methods section. Overall, our test was performed on the remaining 11,105 cases. Also, 1.5% (158/11,263) of the women did not receive results because of recruitment criteria failure or quality control failure.

Basic characteristics of the study population are shown in Table 1. The maternal age ranged from 18 to 49 years old with a median of 31. The median gestational age at the time of blood sampling was 20 weeks. 34.74% (3858/11,105) pregnancies were 35 years old or above. 62.57% (6948/11,105) received either first or second trimester conventional Down syndrome screening tests, in which 65.08% (4522/6948) were screen positive. 2.85% (317/11,105) had ultrasound markers of chromosomal abnormalities, and 0.61% (68/11,105) had a family history of chromosomal abnormalities. No specific risk factor for chromosomal abnormalities was present in 12.49% of the tested cases (1387/11,105).

Identification of trisomies 21 and 18 by the MPS-based test
An average of 5 million sequencing reads per sample was obtained using the Illumina GAIIx or HiSeq 2000 sequencing platforms. After removal of low quality reads and alignment, at least 2 million unique reads for each sample were used for the detection of trisomies 21 and 18.

One hundred ninety cases were classified as test positive, giving a test positive rate of 1.71% (95% CI, 1.47%–1.95%). Of those, 143 cases were positive for trisomy 21 and 47 cases for trisomy 18, corresponding to an incidence of 1.29% (95% CI, 1.08%–1.50%) for trisomy 21, and 0.42% (95% CI, 0.30%–0.54%) for trisomy 18 in this cohort (Figure 3).
Follow-up investigation of test positive cases
One hundred forty of 143 T21-positive cases were confirmed by prenatal karyotyping, including 138 cases of typical trisomy 21, one case of mosaic trisomy 21 (23 of 50 cells studied were trisomic), and one case with euploid karyotype. Three test positive cases did not have karyotype analysis because of spontaneous abortion, intra-uterine fetal demise, or induced abortion, respectively.

Forty-two of 47 T18-positive cases were validated by prenatal karyotyping, and 41 cases were confirmed to be typical trisomy 18 and one case with euploid karyotype. Karyotyping was not performed in five test positive cases, including four cases of induced abortion, and one case of intra-uterine infection. Among these five cases, one had nuchal translucency >6 mm, one case had abnormal ultrasound markers and the other three cases were at high risk of trisomy 18 based on maternal biochemical screening.

Follow-up investigation of test negative cases
Overall, 10 915 cases were classified as test negative for both trisomies 21 and 18. Among them, 2818 also had fetal karyotyping performed. The indications for the invasive procedures were: (1) positive conventional Down syndrome screening tests in 1178 subjects, (2) abnormal sonographic findings in 56 cases, (3) advanced maternal age in 757 cases, and (4) other reasons such as maternal anxiety or family history in 827 cases. Trisomy 21 or 18 was not detected in any of these 2818 karyotyping studies.

In the remaining 8097 test negative cases without karyotyping, fetal outcome data and detailed information from the newborn examination was available for 4524 pregnancies, which showed that no woman gave birth to a trisomy 21 or 18 newborn. So far, no claim has been received for the insurance coverage for the birth of an affected newborn missed by the MPS-based test.

Table 1  Basic characteristics of the 11 105 pregnant women who took the MPS-based test

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maternal age</td>
<td>Median (yr) 31</td>
</tr>
<tr>
<td>Advanced maternal age (≥35 yr)</td>
<td>3858 (34.74%)</td>
</tr>
<tr>
<td>18–24 yr (n, %)</td>
<td>918 (8.27%)</td>
</tr>
<tr>
<td>25–29 yr (n, %)</td>
<td>3439 (30.97%)</td>
</tr>
<tr>
<td>30–34 yr (n, %)</td>
<td>2890 (26.02%)</td>
</tr>
<tr>
<td>35–39 yr (n, %)</td>
<td>3188 (28.71%)</td>
</tr>
<tr>
<td>≥40 yr (n, %)</td>
<td>670 (6.03%)</td>
</tr>
<tr>
<td>Parity</td>
<td></td>
</tr>
<tr>
<td>Nullipara (n)</td>
<td>6053/11 105 (54.51%)</td>
</tr>
<tr>
<td>Gestational age at blood sampling</td>
<td></td>
</tr>
<tr>
<td>Median (wk)</td>
<td>20</td>
</tr>
<tr>
<td>Range (wk)</td>
<td>9–28</td>
</tr>
<tr>
<td>9 to 12 weeks (n, %)</td>
<td>371 (3.34%)</td>
</tr>
<tr>
<td>13 to 16 weeks (n, %)</td>
<td>1963 (17.68%)</td>
</tr>
<tr>
<td>17 to 20 weeks (n, %)</td>
<td>5598 (50.41%)</td>
</tr>
<tr>
<td>21 to 24 weeks (n, %)</td>
<td>2665 (24.00%)</td>
</tr>
<tr>
<td>25 to 28 weeks (n, %)</td>
<td>508 (4.57%)</td>
</tr>
<tr>
<td>History or family history of aneuploidies (n)</td>
<td>68/11 105 (0.61%)</td>
</tr>
<tr>
<td>Presence of sonographic markers of chr abnormalities (n)</td>
<td>317/11 105 (2.85%)</td>
</tr>
<tr>
<td>Had conventional Down syndrome screening tests</td>
<td></td>
</tr>
<tr>
<td>Yes – Screening positive (n, %)</td>
<td>4522/6948 (65.08%)</td>
</tr>
<tr>
<td>Yes – Screening negative (n, %)</td>
<td>2426/6948 (34.92%)</td>
</tr>
<tr>
<td>No – with one or more of other risk factorsa (n, %)</td>
<td>2770/11 105 (24.94%)</td>
</tr>
<tr>
<td>No – without any risk factorsa (n, %)</td>
<td>1387/11 105 (12.48%)</td>
</tr>
</tbody>
</table>

aOther risk factors refer to advanced maternal age, presence sonographic markers of a positive history of affected pregnancies.

Figure 3  Identification of fetal aneuploidy by the MPS-based test. The risk of fetal aneuploidy was described by the L-score (x-axis) and t-score (y-axis).

The true aneuploidy and negative sample by fetal karyotyping is labeled by a solid triangle (▲) and hollow circles (○). The high-risk zone is defined by L-score > 1 and t-score > 2.5. (A) 143 cases (including one T21 false positive case) were located in the high-risk zone for trisomy 21 and classified as T21-positive. (B) 47 cases (including one T18 false positive case) were located in the high-risk zone for trisomy 18 and classified as T18-positive.
The estimation of sensitivity and specificity of the MPS-based test
Overall, 3000 cases had full karyotyping performed. There were 139 cases of trisomy 21, 41 cases of trisomy 18, and 2820 euploid cases. We observed one false positive case of trisomy 21 and one false positive case of trisomy 18 in our test. Therefore, the detection rate of the MPS-based test in identifying fetal trisomy 21 and 18 were 100% (139/139) and 100% (41/41), whereas the false positive rate were 0.03% (1/2820) and 0.03% (1/2820), respectively. The estimated sensitivities and specificities were 100% and 99.96% for both trisomies 21 and 18, respectively.

DISCUSSION

In this study 11,263 pregnant women from 49 medical centers were recruited in China, to evaluate the performance of the MPS-based test as a screening test in a clinical setting. This multicenter study recruited a relatively large sample size involving participants with various clinical risk factors. It was performed in the routine and complicated clinical settings. With proper pretest and posttest counseling, our test results were shown to be highly accurate, helping clinicians to offer better medical advices to pregnant women who then can make well-informed pregnancy decisions.

The detection rate (or sensitivity) and the false positive rate (or specificity) are critical parameters in evaluating a new clinical testing technology. In this study, 182 of 190 test positive cases had fetal karyotyping performed. Our test successfully identified all true trisomies 21 and 18 cases; however, there were two false positive cases. For those eight cases identified as test positive but did not have karyotyping, we believe it was unlikely to be false positive, because these cases showed high-risk indications in conventional screening tests and ended with abnormal pregnancy outcomes, such as spontaneous abortion, and intra-uterine fetal demise. Taken together, the estimated specificity of 100% for trisomies 21 and 18 was likely to be reasonable. Even if all these eight cases were false positive, the false positive rate would still be very low, less than 1/1000.

Among the 10,915 test negative cases, 2818 (25.82%) women still requested prenatal fetal karyotyping results. This was probably because many pregnant women were still uncertain about the reliability of our test at that moment, and needed reassurance from conventional invasive tests. On the other hand, this gave us the opportunity to exclude false negativity of our test with certainty among these cases, because the karyotyping results were all normal for both chromosome 21 and 18. Six hundred twenty-five of 2818 cases were still pregnant at the time this manuscript was written.

However, follow-up investigation of the remaining 8097 test negative cases without karyotyping was a challenge. In China, follow-up data are difficult to obtain in such a large-scale multicenter clinical study because of an uncompleted medical tracking system. In this study all 49 participating sites were referral centers mainly located in big cities, whereas pregnant women prefer to give birth in local hospitals, making follow-up assessment difficult. For these reasons, we were only able to obtain fetal outcome data on 4524 participants, even though we used all possible means to contact these women through both the participants’ centers and referring centers. Also, we came up with an incentive program to encourage these patients to report to us in case of false negative results. By introducing a formal insurance policy from a listed company, any reported false negative case would receive CNY 200,000 ($31,658) of insurance coverage, which was equivalent to 8.3 years of the average household income in China (http://www.stats.gov.cn/). Therefore, it is unlikely that anyone with a false negative result would go unnoticed without making a claim to the insurance company. Because we have not received any claim for insurance so far, we believe that the chance of unreported false-negative case was very low.

The sensitivity and specificity of over 99% for the MPS-based test for both trisomies 21 and 18 in this study was consistent with the results of previous studies. Only 1.17% (190/11,105) of the study population which were classified as test positive for trisomy 21 or 18, needed to perform conventional karyotyping analysis, suggesting that over 98% of invasive procedures could be avoided. This is not only cost-saving, but also is a much safer option, avoiding unnecessary fetal losses because of invasive tests. If all of these 11,105 pregnant women had received the invasive tests, 55 to 111 cases procedure-related miscarriage might have happened based on the 0.5% to 1% incidence found in prior studies. These hypothetical miscarriages could be avoided with the introduction of our test to the established prenatal screening and diagnosis workflow.

In principle, MPS-based methods could detect all kinds of fetal aneuploidy and microdeletions/microduplications. The testing for trisomy 21/18 is the most clinically relevant because other numerical autosomal chromosome aberrations are rare after 12 weeks. On the other hand, the detection of other chromosomal abnormalities such as mosaic or structural variations still remains challenging for this new MPS-based test.

Figure 4. Recommended pipeline to integrate the MPS-based test with established screening-diagnosis model in China. The pipeline with gray solid line refers to the established clinical procedures in China. The intensive route labeled with dark solid lines represents the preferred time of interference into conventional clinical practice. The dark dashed lines indicate our test can also be applied to pregnant women in the low-risk subgroup and those with negative results from conventional screening tests. Whether the MPS-based test is used as a primary or secondary screening test, it should be given careful pretest/posttest counseling based on informed consent and choice.
For the two false positive cases, one of the possible explanations is that there was microduplication in chromosome 21/18 that could be detected by karyotyping. Another possibility is that it was a placenta mosaic that was hard to detect by conventional invasive procedure. Further studies are needed to explore the application of the MPS-based test in these situations.

With the revolutionary progress of noninvasive testing technology, new ethical questions are emerging.32 One of the most difficult issues relates to the fact that such new approaches can count sex chromosomes and therefore contain information of fetal gender. At present, fetal sex is not reported in China because sex-selection is banned by the Law on Maternal and Infant Health of China. Would the availability of fetal sex information result in sex selection behavior? Because part of sex chromosomal abnormalities are not associated with major disabilities, should a woman be informed if there is a suspicion of sex chromosomal abnormalities? Thus, the key concern is what information could or should be provided to pregnant women. As for sex chromosomal abnormalities, it may be time to consider a more comprehensive informed consent process to allow pregnant women to make well-informed decisions on requesting this additional information or not.

There are several limitations of the current study. First, the majority of subjects were recruited after 17 weeks of gestation, and only 371 pregnant women had a gestational age between 9 and 12 weeks, which may be related with the high accuracy of detection of trisomies 21 and 18 and low ratio of repeat test in this study. This was due to the fact that in most Obstetrics and Gynaecology departments in China, medical records for pregnant women begin from 12 gestational weeks, and this is also an important reason we introduce our test at this time point and beyond in this study. Further large scale studies focusing on subjects in the first trimester of pregnancy may be required to evaluate the performance of the MPS-based testing at an earlier gestation (9–12 weeks or even earlier) before its complete integration into current obstetrical practice. Second, although the inadequacy of clinical follow-up could partially be overcome by the use of an insurance coverage scheme, further long-term investigations still need to be carried out for more comprehensive evaluation of this new technology.

**CONCLUSION**

Massively parallel sequencing-based test as a screening method is undoubtedly more efficient than any other existing noninvasive screening tests for fetal trisomies 21 and 18. Although wide-spread use of this technology is limited by the cost and reporting time at the moment, the situation probably will change quickly. The cost of MPS-based noninvasive test varies between countries, even states. In the United States, this MPS-based test is charged $795 to $2762, while it takes around $500 to $1000 in China. With the rapid development of high throughput sequencing technology, the cost of this test will drop to levels lower than that of conventional invasive diagnosis procedures in the near future. The reporting time of the MPS-based test could be reduced to three days or less.33,34 Also, with increasing sequencing depth, our test has the potential to detect more fetal chromosomal abnormalities including structural variations or even single-gene disorders.35–38 It is clear that this new technology will radically change the current clinical model of prenatal screening and diagnosis in the near future. However, the proper application of the MPS-based test in clinical settings needs careful consideration to integrate with established obstetric practice and workflow, and the right of informed consent and choice of pregnant women should be fully respected in this process. Here we also recommend a possible integration of the MPS-based test with the established screening-diagnosis model based on our experience in China (Figure 4).

**WHAT'S ALREADY Known ABOUT THIS TOPIC?**

- Massively parallel sequencing-based test has been proved to be feasible for the noninvasive prenatal diagnosis of trisomy 21. This is a large scale multicenter study of massively parallel sequencing-based noninvasive prenatal testing for trisomies 21 and 18 in China.

**WHAT DOES THIS STUDY ADD?**

- We proved that our noninvasive fetal trisomy test is successful as a screening test for detection of trisomy 21 and 18 in the Chinese population.

**REFERENCES**


